

## Some Properties on Mean Curvatures of Codimension-One Taut Foliations

Gen-ichi OSHIKIRI \*

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### Abstract

Given a codimension-one foliation  $\mathcal{F}$  of a not necessarily closed manifold  $M$ . We show a relation between the changes of Riemannian metrics and the mean curvature functions, and derive some consequences when  $\mathcal{F}$  is a taut foliation. A relation between these results and a characterization of admissible vector fields is also discussed.

### 1 Introduction

Let  $\mathcal{F}$  be a foliation of any codimension of a compact manifold  $M$  and  $X$  be a vector field on  $M$ . Recently, P. Schweitzer and P. Walczak [10] provided some necessary and sufficient conditions for  $X$  to become the mean curvature vector of  $\mathcal{F}$  with respect to some Riemannian metric on a closed manifold  $M$ . In a previous paper [7], the author studied the same problem for codimension-one foliations  $\mathcal{F}$ , and gave a necessary and sufficient condition for  $X$  to become the mean curvature vector of  $\mathcal{F}$  with respect to some Riemannian metric on  $M$ , which resembles the conditions given in the papers of the author ([4], [5], [6]). However, as the conditions given in the above paper are complicated, further studies are needed on this problem. In this paper, we give a relation between the changes of Riemannian metrics and the mean curvature functions, and derive some consequences when  $\mathcal{F}$  is a taut foliation. A relation between these results and a characterization of admissible vector fields is also discussed.

We shall give some definitions, preliminaries and the results in § 2, and shall prove them in § 3. Some remarks are given in § 4.

### 2 Preliminaries and results

In this paper, we work in the  $C^\infty$ -category. In what follows, we always assume that foliations are of

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\* Faculty of Education, Iwate University

codimension-one and transversely oriented, and that the ambient manifolds are connected, oriented and of dimension  $n + 1 \geq 2$ , unless otherwise stated (see [1], [12] for the generalities on foliations).

Let  $g$  be a Riemannian metric of  $M$ . Then there is a unique vector field orthogonal to  $\mathcal{F}$  whose direction coincides with the given transverse orientation. We denote this vector field by  $N$ . Orientations of  $M$  and  $\mathcal{F}$  are related as follows: Let  $\{E_1, E_2, \dots, E_n\}$  be an oriented local orthonormal frame of  $T\mathcal{F}$ . Then the orientation of  $M$  coincides with the one given by  $\{N, E_1, E_2, \dots, E_n\}$ .

We denote by  $h_g(x)$  the mean curvature of a leaf  $L$  at  $x$  with respect to  $g$  and  $N$ , that is,

$$h_g = \sum_{i=1}^n \langle \nabla_{E_i} E_i, N \rangle,$$

where  $\langle, \rangle$  means  $g(\cdot, \cdot)$ ,  $\nabla$  is the Riemannian connection of  $(M, g)$  and  $\{E_1, E_2, \dots, E_n\}$  is an oriented local orthonormal frame of  $T\mathcal{F}$ . The vector field  $H_g = h_g N$  is called the *mean curvature vector* of  $\mathcal{F}$  with respect to  $g$ . A smooth function  $f$  on  $M$  is called *admissible* if  $f = -h_g$  for some Riemannian metric  $g$  (cf. [4], [13]). A characterization of admissible functions is given in [6] (see also [4], [5], [13]). We also call a vector field  $X$  on  $M$  *admissible* if  $X = Hg$  for some Riemannian metric  $g$ . A characterization of admissible vector fields is given in [7]. Define an  $n$ -form  $\chi_{\mathcal{F}}$  on  $M$  by

$$\chi_{\mathcal{F}}(V_1, \dots, V_n) = \det(\langle E_i, V_j \rangle)_{i,j=1, \dots, n} \quad \text{for } V_j \in TM.$$

The restriction  $\chi_{\mathcal{F}}|_L$  is the volume element of  $(L, L|_g)$  for  $L \in \mathcal{F}$ . Note that if  $\omega$  is the dual 1-form of  $N$ , that is,  $\omega(V) = g(N, V)$  for  $V \in TM$ , then  $dV_g = \omega \wedge \chi_{\mathcal{F}}$ , where  $dV_g$  is the volume element of  $(M, g)$ . The following Rummmler's result plays a key role in this paper.

**Proposition R** (Rummmler [8]).  $d\chi_{\mathcal{F}} = -h_g dV_g = \text{div}_g(N) dV_g$ , where  $\text{div}_g(N)$  is the divergence of  $N$  with respect to  $g$ , that is,  $\text{div}_g(N) = \sum_{i=1}^n \langle \nabla_{E_i} N, E_i \rangle$ .

A codimension-one foliation  $\mathcal{F}$  is called *taut* if there is a Riemannian metric  $g$  of  $M$  so that every leaf of  $\mathcal{F}$  is a minimal submanifold of  $(M, g)$ . A topological characterization of taut foliations of closed manifolds is given by Sullivan [11].

Our results are the following.

**Theorem 1.** Let  $(M, \mathcal{F})$  be a codimension-one taut foliation, and  $g$  be a Riemannian metric of  $M$  so that  $\mathcal{F}$  is minimal, and  $N$  be the unit vector field on  $M$  defined above. Then for a smooth function  $f$  on  $M$  the vector field  $fN$  is admissible if and only if  $f$  is of the form  $\sigma^2 N(\varphi)$  for some smooth functions  $\sigma > 0$  and  $\varphi$  on  $M$ .

**Theorem 2.** Let  $(M, \mathcal{F})$  be a codimension-one foliation, and  $g$  be a Riemannian metric of  $M$ . Let  $N$  be the unit vector field on  $M$  defined above. Then  $\mathcal{F}$  is taut if and only if there are a positive smooth function  $\varphi$  and a vector field  $F$  tangent to  $\mathcal{F}$  so that  $\text{div}_g(\varphi N + F) = 0$ .

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These results are local in nature, and hold for not necessarily closed manifold. In § 4, we discuss these results from the view point of the setting of Sullivan.

### 3 Proof of Theorems

Firstly, we prove a proposition, which is concerned with a relation between mean curvature functions and Riemannian metrics (cf. Lemma 3 in [3]).

**Proposition.** Let  $\mathcal{F}$  be a codimension-one foliation of a Riemannian manifold  $(M, g)$ ,  $N$  be the unit vector field orthogonal to  $\mathcal{F}$  defined as in Section 2, and  $h$  be the mean curvature function of  $\mathcal{F}$  with respect to  $g$ . Let  $\bar{g}$  be another Riemannian metric of  $M$  and  $\bar{N}$  be the unit vector field orthogonal to  $\mathcal{F}$  with respect to  $\bar{g}$ . Set  $\bar{N} = \sigma N + F$  for a positive smooth function  $\sigma$  on  $M$  and  $F \in \Gamma(\mathcal{F})$ . Further, also set  $\bar{\chi}_{\mathcal{F}}|_{\mathcal{F}} = \varphi \chi_{\mathcal{F}}|_{\mathcal{F}}$  for a positive smooth function  $\varphi$  on  $M$ . Then, for the mean curvature  $\bar{h}$  of  $\mathcal{F}$  with respect to  $\bar{g}$ , we have

$$\bar{h} = \sigma h - \sigma N(\log \varphi) - F(\log \frac{\varphi}{\sigma}) - \operatorname{div}_g(F).$$

(Proof.) Hereafter, we denote  $\chi_{\mathcal{F}}$  and  $\bar{\chi}_{\mathcal{F}}$  by  $\chi$  and  $\bar{\chi}$ , respectively. Denote also  $dV_g$  by  $dV$  and  $dV_{\bar{g}}$  by  $\bar{dV}$ , respectively. As  $\bar{h}$  does not depend on  $g|_{\mathcal{F}}$  but only on  $\chi$ , we may assume that the metrics  $g|_{\mathcal{F}}$  and  $\bar{g}|_{\mathcal{F}}$  satisfy the following relation as  $\bar{\chi}|_{\mathcal{F}} = \varphi \chi|_{\mathcal{F}}$ : If  $\{E_1, E_2, \dots, E_n\}$  is a local orthonormal frame of  $T\mathcal{F}$  with respect to  $g$ , then  $\{E_1/\varphi, E_2, \dots, E_n\}$  is a local orthonormal frame of  $T\mathcal{F}$  with respect to  $\bar{g}$ . We denote this frame by  $\{\bar{E}_1, \bar{E}_2, \dots, \bar{E}_n\}$ . Let  $\bar{\omega}, \bar{\omega}_1, \bar{\omega}_2, \dots, \bar{\omega}_n$  be the dual 1-forms of  $\bar{N}, \bar{E}_1, \bar{E}_2, \dots, \bar{E}_n$ . Then it follows that

$$\bar{\omega} = \frac{1}{\sigma}\omega, \quad \bar{\omega}_1 = \varphi\omega_1 - \frac{\varphi}{\sigma}\omega_1(F)\omega, \quad \bar{\omega}_i = \omega_i - \frac{1}{\sigma}\omega_i(F)\omega \quad (i \geq 2).$$

In fact, as  $1 = \bar{\omega}(\bar{N}) = \bar{\omega}(\sigma N + F) = \sigma\bar{\omega}(N)$  and  $\operatorname{Ker} \omega = \operatorname{Ker} \bar{\omega}$ , we have  $\sigma\bar{\omega} = \omega$ . As  $0 = \bar{\omega}_1(\bar{N}) = \bar{\omega}_1(\sigma N + F) = \sigma\bar{\omega}_1(N) + \bar{\omega}_1(F)$ , we have  $\bar{\omega}_1(N) = -(\varphi/\sigma)\omega_1(F)$ . It follows that  $\bar{\omega}_1 = \varphi\omega_1 - (\varphi/\sigma)\omega_1(F)\omega$ . For  $i \geq 2$ , by the similar argument, we have  $\bar{\omega}_i = \omega_i - (\omega_i(F)/\sigma)\omega$ . It follows that

$$\begin{aligned} \bar{dV} &= \bar{\omega} \wedge \bar{\omega}_1 \wedge \bar{\omega}_2 \wedge \dots \wedge \bar{\omega}_n \\ &= (\omega/\sigma) \wedge (\varphi\omega_1 - (\varphi\omega_1(F)/\sigma)\omega) \wedge (\omega_2 - (\omega_2(F)/\sigma)\omega) \wedge \dots \wedge (\omega_n - (\omega_n(F)/\sigma)\omega) \\ &= (\varphi/\sigma)\omega \wedge \omega_1 \wedge \dots \wedge \omega_n \\ &= \frac{\varphi}{\sigma}dV. \end{aligned}$$

We also have

$$\begin{aligned}
 \bar{\chi} &= \bar{\omega}_1 \wedge \bar{\omega}_2 \wedge \cdots \wedge \bar{\omega}_n \\
 &= \varphi(\omega_1 - (\omega_1(F)/\sigma)\omega) \wedge (\omega_2 - (\omega_2(F)/\sigma)\omega) \wedge \cdots \wedge (\omega_n - (\omega_n(F)/\sigma)\omega) \\
 &= \varphi\omega_1 \wedge \cdots \wedge \omega_n - \varphi \sum_{i=1}^n ((\omega_i(F)/\sigma)\omega) \wedge \omega_1 \wedge \cdots \wedge \omega_{i-1} \wedge \omega_{i+1} \wedge \cdots \wedge \omega_n \\
 &= \varphi\chi + \frac{\varphi}{\sigma}\omega \wedge \left( \sum_{i=1}^n (-1)^{-i} \omega_i(F)\omega_1 \wedge \cdots \wedge \omega_{i-1} \wedge \omega_{i+1} \wedge \cdots \wedge \omega_n \right) \\
 &= \varphi\chi + \frac{\varphi}{\sigma} \iota_F dV,
 \end{aligned}$$

where  $\iota_F$  denotes the interior product by  $F$ .

Now we are in a position to prove our assertion. As, by Proposition R,  $d\chi = -h dV$  and  $d\bar{\chi} = -\bar{h} \bar{dV}$ , we have

$$\begin{aligned}
 -\bar{h} \bar{dV} &= d\bar{\chi} = d\left(\varphi\chi + \frac{\varphi}{\sigma} \iota_F dV\right) \\
 &= d\varphi \wedge \chi + \varphi d\chi + d\left(\frac{\varphi}{\sigma}\right) \wedge \iota_F dV + \frac{\varphi}{\sigma} d\iota_F dV \\
 &= \left(N(\varphi) - \varphi h + F\left(\frac{\varphi}{\sigma}\right) + \frac{\varphi}{\sigma} \operatorname{div}_g(F)\right) dV \\
 &= \left(N(\varphi) - \varphi h + F\left(\frac{\varphi}{\sigma}\right) + \frac{\varphi}{\sigma} \operatorname{div}_g(F)\right) \frac{\sigma}{\varphi} \bar{dV}.
 \end{aligned}$$

Thus, we have

$$\bar{h} = \sigma h - \sigma N(\log \varphi) - F(\log \frac{\varphi}{\sigma}) - \operatorname{div}_g(F).$$

(Proof of Theorem 1.) Firstly note that, by Proposition, we have the following.

Assertion. Let  $\mathcal{F}$  be a codimension-one foliation of a Riemannian manifold  $(M, g)$ ,  $N$  be the unit vector field orthogonal to  $\mathcal{F}$ , and  $h$  be the mean curvature function of  $\mathcal{F}$  with respect to  $g$ . If  $\bar{g}$  is another Riemannian metric of  $M$  so that  $\mathcal{F} \perp N, \bar{g}(\bar{N}, \bar{N}) = 1$ , and  $\bar{H} = fN$ , then  $f = \sigma^2(h - N(\varphi))$  for some smooth functions  $\sigma > 0$  and  $\varphi$  on  $M$ .

Indeed, in Proposition, if we set  $F = 0, \bar{N} = \sigma N$ , and  $\bar{\chi} = \varphi\chi$ , then we get

$$\bar{h} = \sigma h - \sigma N(\log \varphi).$$

As  $\bar{H} = \bar{h} \bar{N} = \bar{h} \sigma N = fN$ , it follows that  $f = \sigma^2(h - N(\log \varphi))$ .

Assume that  $\mathcal{F}$  is minimal with respect to  $g$ . Then, we have  $h = 0$ . By the assertion,  $f$  is of the form  $\sigma^2 N(\varphi)$  for some smooth functions  $\sigma > 0$  and  $\varphi$  on  $M$ .

Conversely, assume that  $f$  is of the form  $\sigma^2 N(\varphi)$  for some smooth functions  $\sigma > 0$  and  $\varphi$  on  $M$ . If we choose a Riemannian metric  $\bar{g}$  of  $M$  so that  $\mathcal{F} \perp N, \bar{N} = \sigma N$ , and  $\bar{\chi} = e^{-\varphi} \chi$ , then, as  $h = 0$ , from the proof of the assertion, we have the desired result. This completes the proof.

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(*Proof of Theorem 2.*) We shall use the same notations as in Proposition. Let  $g$  be any Riemannian metric of  $M$ . Assume that there are a positive smooth function  $\varphi$  and a vector field  $F$  tangent to  $\mathcal{F}$  so that  $\operatorname{div}_g(\varphi N + F) = 0$ . Choose a Riemannian metric  $\bar{g}$  with  $\mathcal{F} \perp N + (1/\varphi)F$ ,  $\bar{N} = N + (1/\varphi)F$ , and  $\bar{\chi}|_{\mathcal{F}} = \varphi \chi|_{\mathcal{F}}$ . Then, by Proposition, we have  $\bar{h} = h - N(\log \varphi) - (1/\varphi)F(\log \varphi) - \operatorname{div}_g((1/\varphi)F)$ , because  $\sigma \equiv 1$  on  $M$ . As  $h - N(\log \varphi) - (1/\varphi)F(\log \varphi) - \operatorname{div}_g((1/\varphi)F) = - (1/\varphi)(\operatorname{div}_g(\varphi N + F)) = 0$ , by assumption, we have  $\bar{h} = 0$ , which shows that  $\mathcal{F}$  is taut.

Conversely, assume that  $\mathcal{F}$  is minimal with respect to some Riemannian metric  $\bar{g}$  of  $M$ . We show that there are a positive smooth function  $\varphi$  and a vector field  $F$  tangent to  $\mathcal{F}$  so that  $\operatorname{div}_g(\varphi N + F) = 0$ . Let  $\bar{N} = \sigma N + Z$ , where  $Z \in \Gamma(\mathcal{F})$ , be the unit vector field orthogonal to  $\mathcal{F}$  with respect to  $\bar{g}$ , and  $\varphi$  be a smooth function satisfying  $\bar{\chi}|_{\mathcal{F}} = \varphi \chi|_{\mathcal{F}}$ . Then, from the proof of Proposition, we have

$$0 = N(\varphi) - \varphi h + Z \left( \frac{\varphi}{\sigma} \right) + \frac{\varphi}{\sigma} \operatorname{div}_g(Z) = \operatorname{div}_g(\varphi N + \frac{\varphi}{\sigma} Z).$$

By setting  $F = (\varphi/\sigma)Z$ , we have the desired result.

As corollaries to Theorem 2, we have

**Corollary 1.** Let  $(M, \mathcal{F})$  be a codimension-one foliation, and  $g$  be a Riemannian metric of  $M$ . Let  $N$  be the unit vector field on  $M$  defined as above. Then there is a Riemannian metric  $\bar{g}$  that makes  $\mathcal{F}$  minimal with  $\bar{\chi}|_{\mathcal{F}} = \chi|_{\mathcal{F}}$  if and only if there is a vector field  $F$  tangent to  $\mathcal{F}$  so that  $\operatorname{div}_g(N + F) = 0$ .

**Corollary 2.** Let  $(M, \mathcal{F})$  be a codimension-one foliation and  $X$  be a non-vanishing divergent-free vector field, that is,  $\operatorname{div} X = 0$  on  $M$ . Then, any codimension-one foliation transverse to  $X$  is taut.

## 4 Concluding remarks

In this section, we give some remarks on a relation between the results of this paper and the conditions given in [7]. In order to recall the characterization of admissible vector fields given in [7], firstly recall the set-up by Sullivan [11]. In what follows, we assume that  $M$  is a closed oriented manifold. Let  $D_p$  be the space of  $p$ -currents, and  $D^p$  be the space of differential  $p$ -forms on  $M$  with the  $C^\infty$  topology. It is well known that  $D^p$  is the dual space of  $D_p$  (cf. Schwartz [9]). Let  $x \in M$  and  $\{e_1, \dots, e_n\}$  be an oriented basis of  $T_x \mathcal{F}$ . We define the Dirac current  $\delta_{e_1 \wedge \dots \wedge e_n}$  by

$$\delta_{e_1 \wedge \dots \wedge e_n}(\phi) = \phi_x(e_1 \wedge \dots \wedge e_n) \quad \text{for } \phi \in D^n,$$

and set  $C_{\mathcal{F}}$  to be the closed convex cone in  $D_n$  spanned by Dirac currents  $\delta_{e_1 \wedge \dots \wedge e_n}$  for all oriented bases  $\{e_1, \dots, e_n\}$  of  $T_x \mathcal{F}$  and  $x \in M$ . We denote a base of  $C_{\mathcal{F}}$  by  $\mathbf{C}$ , which is an inverse image  $L^{-1}(1)$  of a suitable continuous linear functional  $L : D_n \rightarrow \mathbf{R}$ . It is known that the base  $\mathbf{C}$  is compact if  $L$  is suitably chosen. In the following, we assume that  $\mathbf{C}$  is compact.

Let  $X$  be a vector field on  $M$ . Define the closed linear subspace  $P(X)$  of  $D_n$  generated by all the Dirac currents  $\delta_{X(x)} \wedge v_1 \wedge \cdots \wedge v_{n-1}$  with  $v_1, \dots, v_{n-1} \in T_x \mathcal{F}$  and  $x \in M$  (see [10] for more details), where  $\delta_{X(x)} \wedge v_1 \wedge \cdots \wedge v_{n-1}$  is defined by

$$\delta_{X(x) \wedge v_1 \wedge \cdots \wedge v_{n-1}}(\phi) = \phi_x(X(x) \wedge v_1 \wedge \cdots \wedge v_{n-1}) \quad \text{for } \phi \in D^n.$$

Let  $\partial : C_{n+1} \rightarrow C_n$  be the boundary operator and set  $B = \partial(C_{n+1})$ . In these settings, we gave the following characterization of admissible vector fields on a closed manifold  $M$  (Theorem 2 in [7]):

For a vector field  $X$  on  $M$ , the following two conditions are equivalent.

- (1)  $X$  is admissible.
- (2) There are a volume element  $dV$ , a non-vanishing vector field  $Z$  transverse to  $\mathcal{F}$  whose direction coincides with the given transverse orientation of  $\mathcal{F}$ , a smooth function  $f$  on  $M$ , and a neighborhood  $U$  of  $0 \in D_n$  such that
  - (i)  $X = -fZ$ ,
  - (ii)  $\int_M f dV = 0$ ,
  - (iii)  $\int_c f dV = 0$  for all  $c \in \partial^{-1}(P(X) \cap B)$ , and
  - (iv)  $\inf\{\int_c f dV \mid c \in \partial^{-1}((C + P(X) + U) \cap B)\} > 0$ .

Concerning Theorem 1, we show an implication: If  $f$  is of the form  $\sigma^2 N(\varphi)$ , then  $fN$  is admissible.

Note that if  $\mathcal{F}$  is taut, then it is easy to see that  $(C + P(X) + U) \cap B = \emptyset$ . Thus the condition (iv) becomes void. Set  $\overline{dV} = (1/\sigma^2)dV$ . Then, as  $f\overline{dV} = N(\varphi)dV = d(\varphi\chi)$ , because  $d\chi = 0$ , it follows that  $\int_M f\overline{dV} = \int_M d(\varphi\chi) = 0$ , which means that the condition (ii) is satisfied.  $\int_c f\overline{dV} = \int_c d(\varphi\chi) = \int_{\partial c} \varphi\chi = 0$ , because  $\chi|_{P(N)} = 0$  and  $\partial c \in P(N)$ , which means the condition (iii) is satisfied.

Concerning Theorem 2, we show an implication: If  $\text{div}_g(\varphi N + F) = 0$ , then  $\mathcal{F}$  is taut.

Set  $\psi = \iota_{(\varphi N + F)} dV$ . Then,  $d\psi = d\iota_{(\varphi N + F)} dV = L_{(\varphi N + F)} dV = \text{div}_g(\varphi N + F) = 0$ . Further, as  $\psi|_{\mathcal{F}} > 0$  and  $\psi|_{P(\varphi N + F)} = 0$ , it is easy to see that the vector field  $0 \cdot N = 0$  is admissible, that is,  $\mathcal{F}$  is taut.

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